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## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

|   | DESIGNATED/ELECTED  | U.S. APPLICATION NO. HIT IMPOUTS SEE 37 CFR 1.5) |   |  |  |  |  |  |  |
|---|---|--|---|--|--|--|--|--|--|
|   | ICERNING A SUBMISSIC  |  |   |  |  |  |  |  |  |
| PCT/CA  | TIONAL APPLICATION NO.<br>A2003/001960  | INTERNATIONAL FILING DATE<br>16 December 2003    | PRIORITY DATE CLAIMED<br>16 December 2002 |  |  |  |  |  |  |
| TITLE OF INVENTION  |   |  |   |  |  |  |  |  |  |
| VALPROIC ACID ANALOGUES AND PHARMACEUTICAL COMPOSITIONS THEREOF APPLICANT(S) FOR DO/EO/US                                       |   |  |   |  |  |  |  |  |  |
| ABBOTT, Frank Slade et. al.   |   |  |   |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |  |   |  |  |  |  |  |  |
| 1. 🔯  | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |  |   |  |  |  |  |  |  |
| 2.  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |  |   |  |  |  |  |  |  |
| 3. LX   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |  |  |  |  |  |
| 4. 🙀  | The US has been elected (Article 31).   |  |   |  |  |  |  |  |  |
| 5. X  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |   |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |  |   |  |  |  |  |  |  |
|   | b. X has been communicated by the International Bureau.   |  |   |  |  |  |  |  |  |
| _   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |   |  |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |  |   |  |  |  |  |  |  |
|   | a. is attached hereto.  |  |   |  |  |  |  |  |  |
| _   | b. has been previously submit   | tted under 35 U.S.C. 154(d)(4).                  | •   |  |  |  |  |  |  |
| 7. <b>X</b>   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |   |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |  |   |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |  |   |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |  |   |  |  |  |  |  |  |
|   | d. X have not been made and v   | vill not be made.                                |   |  |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |   |  |  |  |  |  |  |
| 9. X  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |  |  |  |  |  |  |
| 10.   | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary E     | Examination Report under PCT              |  |  |  |  |  |  |
| Items   | 11 to 20 below concern document(s   | ) or information included:                       |   |  |  |  |  |  |  |
| 11.   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                      |   |  |  |  |  |  |  |
| 12.   | An assignment document for recording  | g. A separate cover sheet in compliance w        | ith 37 CFR 3.28 and 3.31 is included.     |  |  |  |  |  |  |
| 13. X   | A preliminary amendment.  |  |   |  |  |  |  |  |  |
| 14. 🔀   | An Application Data Sheet under 37 (  | CFR 1.76.  |   |  |  |  |  |  |  |
| 15.   | A substitute specification.   | •  |   |  |  |  |  |  |  |
| 16. 🕌   | A power of attorney and/or change of  | address letter.                                  |   |  |  |  |  |  |  |
| 17.   | A computer-readable form of the sequ  | uence listing in accordance with PCT Rule        | 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825. |  |  |  |  |  |  |
| 18.   | A second copy of the published Intern   | ational Application under 35 U.S.C. 154(d)       | (4).                                      |  |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |   |  |  |  |  |  |  |
| ~ $\Box$  | O4h 14 1-6 1  |  |   |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

JC17 Rec'd PCT/PTO 1.5 JUN 2005

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Approved for use through 3/31/2007. OMB 0651-0021

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|--|---|--------------------------|--|----------------|-----------------------|----|--|--|--|
| The foll   | LU / 2  | U0080645                 | PTO USE ONLY   |                |                       |    |  |  |  |
| The following fees have been submitted  21. X Basic national fee\$300  |   |                          |  | \$ 300         | T TO GOL GIVE!        |    |  |  |  |
| 22. X Exan If International p PCT Article 3:   | nination fee<br>reliminary examin<br>3(1)-(4)ns                             | \$ 200                   |  |                |                       |    |  |  |  |
| Search fee (37 C<br>Internatio<br>International Sea  | rch fee<br>FR 1.445(a)(2)) h<br>nal Searching Aut<br>rch Report prepar<br>s | \$ 500                   |  |                |                       |    |  |  |  |
|  | TOTAL OF 21, 2:   | \$ 1,000                 |  |                |                       |    |  |  |  |
| sequence lis   | e for specification<br>sting or computer<br>250 for each addit              |                          |  |                |                       |    |  |  |  |
| Total Sheets   | Extra Sheets  |                          | n additional 50 or fraction<br>up to a whole number) | RATE           |                       |    |  |  |  |
| - 100 =  | /50 =   |                          |  | × \$250        | \$                    |    |  |  |  |
| Surcharge of \$13 claimed priority d   | 0.00 for furnishing<br>ate (37 CFR 1.49                                     | \$                       |  |                |                       |    |  |  |  |
| CLAIMS   | NUMB  | BER FILED                | NUMBER EXTRA   | RATE           | \$                    |    |  |  |  |
| Total claims   |   | 41 - 20 =                | 21   | × \$50         | <sup>\$</sup> 1,050   |    |  |  |  |
| Independent clair  | ns  | > 2 -3=                  | 0  | × \$200        | \$                    |    |  |  |  |
| MULTIPLE DEPE  | ENDENT CLAIM(S  | S) (if applicable)       |  | + \$360        | \$                    |    |  |  |  |
|  |   |                          |  | CALCULATIONS = | \$2,050               |    |  |  |  |
| Applicant cla  | ims small entity st   | 1,025                    |  |                |                       |    |  |  |  |
| December for a   | ( C420 00 ( ( :   | \$1,025                  | <u> </u>   |                |                       |    |  |  |  |
|  | f \$130.00 for furni<br>ate (37 CFR 1.49                                    | \$                       |  |                |                       |    |  |  |  |
|  | <u> </u>  |                          |  | NATIONAL FEE = | \$1,025               |    |  |  |  |
|  | the enclosed ass<br>cover sheet (37)  | \$                       |  |                |                       |    |  |  |  |
|  |   |                          | TOTAL F  | EES ENCLOSED = | \$1,025               |    |  |  |  |
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| a. A check in the amount of \$ to cover the above fees is enclosed.  |   |                          |  |                |                       |    |  |  |  |
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| The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>021037</u> . A duplicate copy of this sheet is enclosed.                      |   |                          |  |                |                       |    |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |                          |  |                |                       |    |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |   |                          |  |                |                       |    |  |  |  |
|  | RESPONDENCE   | Tiles                    |  |                |                       |    |  |  |  |
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